

Independent Oversight Reports

For Boards, Supervisory Boards, Investors, and Regulators

Purpose: Our Independent Oversight Reports provide regular, unbiased assessments of your governance practices and decision-making processes. We proactively identify risks and ensure the robust implementation of strategic initiatives, offering critical insights to safeguard your organization's future.



Executive Summary

This section provides a high-level overview of the report's key findings, critical observations, and prioritized recommendations. It highlights the most significant strengths in governance and identifies areas requiring immediate attention, along with a summary of progress on strategic implementation.



Governance Health Check

We conduct a thorough evaluation of your organization's governance landscape, ensuring alignment with both local and international best practices.

Decision-Making Processes

- Assessment: We scrutinize the efficiency, transparency, and accountability of your decision-making processes, from deliberation to approval.
- Follow-up Mechanisms: We evaluate the robustness of systems designed to track
 and ensure the implementation of board and executive decisions. This includes
 assessing the clarity of ownership, deadlines, and reporting structures for followthrough.

Compliance with Local & International Standards

- **Adherence Review:** We assess your compliance with relevant Vietnamese corporate governance codes, including directives from the State Securities Commission (SSC) and other local regulatory bodies.
- **Global Benchmarking:** We also evaluate adherence to international standards such as Environmental, Social, and Governance (ESG) frameworks and emerging Corporate Governance (CG) codes relevant to your industry.

Board Independence

- Conflict of Interest Analysis: We conduct a rigorous review of board member independence, including potential conflicts of interest, aligning with VNIDA (Vietnam Institute of Directors) guidelines and other relevant standards.
- **Effectiveness of Independent Directors:** Our assessment includes the active contribution and oversight provided by independent board members.



Risk & Implementation Monitoring

Our reports extend beyond governance structures to assess the tangible impact of your strategic decisions.

Progress of Strategic Initiatives

- **Tracking & Evaluation:** We monitor the advancement of key strategic initiatives, such as major partnerships, fundraising efforts, market expansions, or digital transformations.
- **Performance vs. Plan:** We provide an independent view on whether these initiatives are on track, identifying any deviations from planned timelines or expected outcomes.

Identified Gaps: Decisions vs. Execution

- **"Execution Gap Analysis":** This is a core differentiator of our reports. We specifically focus on identifying and analyzing the disparity between board-level decisions and their actual operational implementation.
- Root Cause Identification: We delve into the reasons behind these gaps, whether
 they stem from unclear mandates, resource constraints, lack of accountability, or
 operational friction.



Case Benchmarks

To provide actionable context, we benchmark your governance and implementation performance against industry best practices.

- Sectoral Comparison: We compare key metrics and processes with leading companies in relevant sectors, such as financial services or Fast-Moving Consumer Goods (FMCG) in Vietnam and the region.
- Implementation Speed: A practical example often includes assessing "How quickly were audit recommendations implemented?" or "What is the average time from strategic approval to pilot launch?"

Actionable Roadmap

Each report culminates in a clear, prioritized action roadmap designed to enhance your organization's implementation efficiency and strengthen governance.



- **Prioritized Recommendations:** Specific, actionable steps to address identified gaps and risks.
- **Implementation Plan:** Proposed timelines, responsible parties, and expected outcomes for each recommendation.



Our Differentiation

At **VIET Transformation Advisors**, we offer unparalleled insights and credibility:

- "Execution Gap Analysis": Our unique focus on the critical divide between strategic decisions and operational realities provides actionable intelligence for immediate improvement.
- Alignment with VNIDA Governance Principles: As a Partner of VNIDA
 (Vietnam Institute of Directors), our reports are meticulously crafted in
 alignment with their esteemed governance principles, ensuring recognized
 transparency and best practices in the Vietnamese context.



Safeguard Your Strategy. Ensure Execution. Drive Success.

Contact VIET Transformation Advisors to discuss how our Independent Oversight Reports can strengthen your governance and accelerate your strategic implementation.

